## **Applications of Continuations**

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# **Organization:**

The lecture is in three parts. In the first part we define, primarily by example, the key terms. These are conventional procedures, escape procedures and continuations. primitive notions of lambda and call/cc are presented. In the second part we develop examples of simple applications, specifically a simple LISP-like BREAK and a CYCLE procedure which loops indefinitely, but makes available the ability to break out of the loop. Also in this section we point out that call/cc is not strictly necessary if all procedures are written in continuation-passing-style. We complete this section by demonstrating that even the unpopular GO TO style programming of LISP's PROG looks reasonable in the presence of the proper uses of call/cc. In the last section we develop a DISPATCHER for synchronous processes. At the end of these notes are two special features. The first is a set of ten exercises that we hope you will enjoy. Some of them are puzzles designed to challenge and others are more realistic like adding asynchronous processes and exception handling to the DISPATCHER. Finally we have included two appendices. In Appendix A we have presented a meta-circular interpreter for a fully curried version of a subset of Scheme which includes call/cc and lambda. In Appendix B we have included the remaining code necessary to test DISPATCHER.

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## I. Key Terms and Definitions

## I-1. Properties of Scheme

The programming language Scheme is a call-by-value lexically scoped dialect of LISP with first-class procedures. A condition imposed on any implementation is that it be properly tail-recursive. In simple terms this means that there is no control stack growth when unnecessary and that, in particular, the cost of simple loops written using recursion is minimal. A subset of the language is functional, but the entire language supports imperative concepts such as input/output, assignment to lexical variables and first-class continuations.

## I-2. Description of escape procedures

Suppose we consider the following simple expression:

Possible outcomes:

- 1. (f 0) is 4, so the result is 18.
- 2. f is undefined at 0, leading to an error message and causing the computation to abort.
- 3. f is undefined at 0, leading to an infinite loop, e.g., (define f (lambda (n) (if (zero? n) (f n) n)))
  4. (f 0) is 4, but f is an escape procedure so the result is 4.

### I-3. Notation for characterizing escape procedures

We introduce a notation for characterizing escape procedures. If f is a procedure, its corresponding escape procedure is f<sup>^</sup>. f<sup>^</sup> does exactly what f does, but (f<sup>^</sup> ...) escapes as the answer and it is subsequently printed. Sometimes this is referred to as escaping to the top level or read-eval-print loop.

The simplest escape procedure corresponds to the identity: I. If  $f^{-} = I^{-}$ , then the result is 0 and no division occurs. A more powerful escape procedure is  $+^{-}$ .

```
Consider: (* 3 (+^{\circ} 4 5)) \Longrightarrow 9.
```

But note that this is similar to (\* 3 (I^ (+ 4 5)))  $\Longrightarrow$  9.

# I-4. Invoking escape procedures is replacing the control stack

In the expression above the contents of the control stack at the time of invoking  $(+^4 5)$  is <3, \*>. Invoking the escape procedure has the effect of forgetting the current contents of the control stack and processing only the  $+^$  and its arguments. Although it appears that  $(I^+ (+ 4 5))$  is the same as  $(+^4 5)$  they are *not* operationally the same. There is more growth of the control stack with  $(I^+ (+ 4 5))$ . This understanding of the growth

of the control stack will play a rôle later when we look at sophisticated uses of escape procedures.

### I-5. lambda<sup>\*</sup>: the creator of general escape procedures

Given any lambda expression we can form its corresponding escape counterpart.

```
So that (lambda (x) ...) becomes (lambda (x) ...).
```

We assume the existence of such a capability. Later we clarify how such objects are built.

### I-6. call/cc: a creator of escape procedures

Scheme has a feature for creating escape procedures internally and making them available to the user. In Scheme these procedures also interact with fluids. However, for purposes of this lecture we will not discuss the vagaries of fluids. See [9] for a discussion of continuations in the presence of fluids.

These escape procedures correspond to the control stack in the run-time architecture. The control stack corresponds to the continuation in a continuation semantics. That is why these particular escape procedures are often referred to as continuations or continuation objects.

In Scheme one writes

```
(call-with-current-continuation e)
```

This has the effect of invoking (e  $k^{\circ}$ ) where  $k^{\circ}$  is the escape procedure corresponding to the expression instance (call-with-current-continuation e). From here on "call-with-current-continuation" is shortened to "call/cc".

## I-7. Describing escape procedures created by call/cc

For example, suppose we have the expression:

```
(+ 3 (call/cc (lambda (k^) ...)))
```

then  $k^{\circ}$  can be formed by replacing the call/cc expression by a fresh variable, say v, and abstracting with v over the result:

```
k^* = (lambda^* (v) (+ 3 v))
```

### I-8. A very simple example of call/cc

Let's practice:

```
⇒ First form k^ = (lambda^ (v) (+ v 8))

⇒ Evaluate (/ (k^ 5) 4) knowing the value of k^

⇒ Evaluate (k^ 5)

⇒ 13 is the result, the waiting division is forgotten since k^ is an escape procedure.
```

### I-9. Continuations describe the rest of the computation

Another simple example:

```
(* (+ (call/cc
	(lambda (k^)
	(/ (k^ 5) 4)))
	8)
	3)
	⇒ First form k^ = (lambda^ (v) (* (+ v 8) 3))
	⇒ Evaluate (/ (k^ 5) 4)
	⇒ Evaluate (k^ 5)
	⇒ Evaluate ((lambda^ (v) (* (+ v 8) 3)) 5)
	⇒ Result is 39.
```

The use of "\*" is arbitrary here. What we mean is whatever is left to do. In this case the only thing left to do is multiplication. Of course, it could have been any f and in that case we would have all the work of f left to do.

This next example shows that it is not always completely obvious what is meant by the rest of the computation.

Here the work of u "becoming 5" occurs prior to determining the value for  $j^{\hat{}}$ . The continuation  $j^{\hat{}}$  is the same as  $k^{\hat{}}$ . Since (+ 3 2) is 5, both expressions yield the same result.

#### I-10. Continuations are first-class objects

With call/cc we might choose to save away the escape procedure, but not invoke it until later in the computation.

Later we may invoke (\* (/ (+8 $^{\circ}$  35) 0) 100)  $\Longrightarrow$  43

# II. Meta-Programming

## II-1. Default Law of call/cc

The reason that 5 is sent to the waiting + in the previous example is that we claim:

```
(call/cc (lambda (k^) e)) = (call/cc (lambda (k^) (k^ e)))
```

That is, the continuation waiting for the result is the same as the escape procedure created by call/cc. From this equation we know that e defaults to (k^ e).

Thus in our example,

The result is  $(k^5)$  or 13.

#### II-2. With lambda the Default Law of call/cc is not true

Escaping by applying call/cc to an escape procedure (*i.e.*, a procedure (lambda^...)) imposes on the user even more control responsibility. The user of the language is then required to make every decision about exiting from the body of the applied escape procedure. Look at the following two examples. They appear equivalent using the Default Law from above. But that equation used (lambda ...) not (lambda^...). With (lambda^...) being applied to the current continuation we get different behaviors.

```
(+ 3 (call/cc (lambda^ (k^) (k^ 8))) Here we would get: 11
(+ 3 (call/cc (lambda^ (k^) 8))) But here we would just get: 8
```

We conclude this portion of the lecture with the reminder that call/cc may be passed either a conventional or an escape procedure, and the continuation is *always* an escape procedure like  $k^{-}$ .

## II-3. A simple LISP-like BREAK

By saving continuations we can write a BREAK procedure that allows for the computation to RESUME at the discretion of the user. Upon invocation of BREAK a message will be returned to top level. The system listening loop will then be in control until the user executes (RESUME ...), at which time the argument to RESUME will be the value of the original (BREAK ...) invocation.

```
(define BREAK
  (lambda (message)
    (call/cc
        (lambda (k^))
        (set! RESUME k^)
        ((lambda^ (x) x) message)))))
```

BREAK is a fascinating example where the use of first-class continuations leads to an elegant solution. Brian Smith chose a more sophisticated version of BREAK as an example of the power of reflection [25].

#### II-4. How to construct lambda^

Next we consider how to form (lambda^ (i ...) e ...) and note why we cannot think about (lambda^...) as part of Scheme, but must always construct it at run time. We define a procedure INVOKE/NO-CONT which takes as an argument a procedure f of no arguments and invokes f as if it were an escape procedure. Given INVOKE/NO-CONT we can syntactically express lambda^.

```
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```

Below is the procedure make-INVOKE/NO-CONT followed by its invocation.

The (make-INVOKE/NO-CONT) must be invoked from the top-level. When we form the k<sup>^</sup> for building the definition of INVOKE/NO-CONT, we see that it is (lambda<sup>^</sup> (v) (v)), with no continuation. Hence, we are invoking the procedure of zero arguments in the "empty" or "top-level" continuation when we pass it to INVOKE/NO-CONT. The clue that this is happening is the *double left* parentheses around the call/cc. By binding INVOKE/NO-CONT to a procedure whose sole purpose is to invoke its argument, we can guarantee that there is no continuation waiting for its result. Think what would happen if instead we invoked (+ 5 (make-INVOKE/NO-CONT)) at top-level.

# II-5. Programming in continuation-passing-style

The following program yields the sum of the nodes in a binary search tree. If a 0 is found in the tree, the result is 0.

Below is the equivalent definition in continuation-passing-style [26].

Such programs can be read and written with practice. Consider the else line. It says: "Imagine that you have the result of the left tree and call it result1, then imagine you have the result of the right tree and call it result2, then take the sum of the information at the root of the tree with the sum of result1 and result2 and pass this value to the waiting continuation k". The zero test line says to abandon the waiting continuation and return the value 0 to the continuation of the original invoker of bst. Some find this a bit awkward, but the real difficulty is that writing in this style often imposes its will on every program. For instance, if one uses a mapping procedure then the procedure that is being mapped might also be required to be in this style.

To quote James S. Miller [19] "Unfortunately, the procedures resulting from the conversion process are often difficult to understand. The argument that continuations need not be added to the Scheme language is factually correct. It has as much validity as the statement that the names of the formal parameters can be chosen arbitrarily. And both of these arguments have the same basic flaw: the form in which a statement is written can have a major impact on how easily a person can understand the statement. While understanding that the language does not inherently need any extensions to support programming using continuations, the Scheme community nevertheless chose to add one operation to the language to ease the chore."

# II-6. Meta-Programming with call/cc: CYCLE

We can meta-program:

CYCLE works for infinite loops with an EXIT-CYCLE-WITH

The protocol for CYCLE follows:

```
(CYCLE (lambda (EXIT-CYCLE-WITH) e ...))
```

The expectation is that somewhere within the e ... there is at least one invocation of (EXIT-CYCLE-WITH ...). This way, the infinite loop will terminate with the argument to the first (EXIT-CYCLE-WITH ...) that it encounters.

### II-7. LISP's PROG with GO and RETURN: "GO TO" programming revisited

Let us consider LISP's PROG:

With this definition we avoid any risk of losing tail recursion. Even if the program contains an instance of bizarre code like

```
(begin
(GO x)
(print 3))
```

it will still be run as if the instance were just (GO x). The reason that we need invoke only  $(label_i)$  instead of  $(GO label_i)$  is that these particular invocations are always in tail-recursive position.

These definitions are strictly more general than necessary. For example, it is possible to have instances of  $(f \ label_i)$ ,  $(f \ RETURN)$ , and  $(f \ GO)$  within the  $e \ \dots$  Furthermore,  $label_i$ , RETURN and GO may be stored away for later use. This is how some of the mechanisms for non-blind backtracking such as a-bien-tot and au-revoir of Conniver[27] were implemented.

### III. Synchronous Processes

#### III-1. Continuations as synchronous processes

We will next focus on the process-oriented aspects of continuations. A continuation represents a locus of control and we can use this to implement processes [30]. A process scheduler can be designed based on a ready queue of continuations.

If we think about a sequence of expressions (begin  $S_1$   $S_2$  ...) then whenever  $S_i$  binds a continuation, its continuation looks like (lambda^ (waste)  $S_{i+1}$   $S_{i+2}$  ...). These are called command continuations because we are not interested in the value of the continuation invocation. We show this by choosing the argument name "waste" which should not occur free within  $S_i$ 's.

For example:

```
(define foo
  (lambda ()
     (display 2)
     (call/cc (lambda (ak^) ...))
     (display 3)
     (call/cc (lambda (bk^) ...))
     (display 5)
     (call/cc (lambda (ck^) ...))
     (display 7)))
```

If we invoke foo at the top level (with no context) then:

## III-2. Extending the language of processes

We extend the language of expressions to include (PAUSE-HANDLER).

If in our example we replace (call/cc (lambda (ik^) ...)) by a procedure invocation of PAUSE-HANDLER we will get something that looks like this:

```
(define foo
  (lambda ()
      (display 2)
      (PAUSE-HANDLER)
      (display 3)
      (PAUSE-HANDLER)
      (display 5)
      (PAUSE-HANDLER)
      (display 7)))
```

Then the procedure for PAUSE-HANDLER would be responsible for the call/cc.

What is left is to decide what to do with these continuations that we have bound with call/cc. In this simple example we will

- 1. Insert the continuation into the process queue.
- 2. Delete k^ (i.e., a process object) from the front of the queue.

## III-3. Swapping and running the current process

swap-run does the three steps alluded to above.

```
(define swap-run
  (lambda (q k^)
          (q 'en-q! k^)
          (RUN (q 'de-q!))))
(define RUN (lambda (k^) (k^ 'waste)))
```

RUN invokes the continuation that is removed from the process queue.

#### III-4. Creating processes

The only issue remaining is the development of a protocol for processes: "code which uses the PAUSE-HANDLER feature". What should we do when there is nothing left to process? A simple solution is to require an insertion of a HALT command. We clarify this protocol by introducing CREATE-PROCESS. Processes, like continuations that are carved out of processes, must be procedures of one argument.

#### III-5. HALT and DISPATCHER

We next define the DISPATCHER procedure as a simple synchronous process scheduler. Each time DISPATCHER is invoked a new queue is created. The queue created by (createq exit^) is built with the exit^ continuation. The queue invokes the exit^ continuation whenever there is an attempt to delete a process from an empty queue. This will exit DISPATCHER. If the queue is not empty we successfully remove an element from the queue

and RUN it. We include PAUSE-HANDLER in the definition of DISPATCHER so that it will close over the free variable the-process-q.

The invoker of DISPATCHER passes a procedure which takes a queue as an argument. This procedure is invoked just prior to RUNning (surprisingly with HALT) the first process. We use it to initialize the queue. For a demonstration of how we used the initialize-q procedure and for all the code necessary to run DISPATCHER, see Appendix B.

#### III-6. Exercises

- 1. The BREAK program is not very general. Suppose that another invocation of (BREAK ...) occurs. Then the RESUME would not be correct. Redesign the BREAK procedure so that the RESUME interface is unchanged, but that many instances of BREAK can co-exist.
- 2. A slightly more general variant of CYCLE is to allow the user's program the ability to loop back to the top. Here the protocol would be as follows:

```
(CYCLE (lambda (EXIT-CYCLE-WITH AGAIN) e ...))
```

An example:

If the variable AGAIN is free in e ..., then any invocation of (AGAIN) within e ... will take it to the top of the loop. Be careful to guarantee that these invocations of (AGAIN) are tail recursive.

- 3. Reynolds [22] has a different approach to PROG. He builds as many escape procedures as there are labels but each procedure is the concatenation of all the statements below the label. This has the disadvantage of generating larger programs and the advantage of avoiding the generated uses of  $(label_i)$ . Implement PROG using his approach.
- 4. Find out how exception handlers are bound in your Scheme system and wire PAUSE-HANDLER to it. Run test programs which have no instances of PAUSE-HANDLER physically within the program but which invoke PAUSE-HANDLER as a result of an exception.
- 5. Find out how pre-emption works in your Scheme system and wire PAUSE-HANDLER to it. Run test programs which have no instances of PAUSE-HANDLER physically within the program but which invoke PAUSE-HANDLER as a result of something external to the program. This yields asynchronous processes. Some Scheme systems use engines for modeling timed preemption [12].
- 6. We're just a step away from a coroutine system. In a coroutine system the decision as to which process is run when a pause occurs becomes the responsibility of the writer of

the code. We can use the continuations to represent coroutines just as we used them to represent processes. We introduce a new command, (RESUME c), which relinquishes control and runs the coroutine c. Add (RESUME ...) as a command to the DISPATCHER by including another operation on the queue. For a completely different approach to coroutines see [13] or [29].

- 7. What changes should be made to insure that the language supported by DISPATCHER would allow invocations of (DISPATCHER ...). Hint: The solution to this exercise might surprise you.
- 8. The programming language ICON [9] has an interesting control facility. Each expression in ICON has 0, 1, or many values. Create an interface which extends the notion of expressions to those having multiple values as is done in ICON.
- 9. Are continuations too general? Consider the following puzzle. Suppose that we only have a primitive STATE which we define as follows:

Is it possible to define call/cc in terms of STATE?

10. Consider a program that includes, in addition to the standard expressions, three types of special purpose expressions: (MILESTONE ...), (DEVIL ...), and (ANGEL ...). The computation described by the program has the goal of finishing despite the existence of devils. A devil sends control back to the last milestone. The value given to the devil is passed to the continuation commencing at the milestone, as if that value were the result returned by the milestone expression. Presumably this allows the computation to take a different path, possibly avoiding the devil that is lurking somewhere ahead on the previously used path. If another devil, or maybe even the same devil, is subsequently encountered, then control passes back to the penultimate milestone, not to the one just used. In other words, each milestone can be returned to exactly once; a succession of devils pushes the computation back to earlier and earlier states. An angel sends the computation forward to where it was when it most recently encountered a devil. The value passed as a parameter to the angel is given to the devil's continuation as if it were the value of the devil. A succession of angels pushes the computation forward to more advanced states. A milestone is a procedure of one argument that acts as the identity, as well as recording the current context for later use by devils. If a devil (or angel) is encountered with no milestone (or devil) remaining, the devil (or angel) has no effect. To recharge any milestone the milestone must be re-evaluated. Implement the procedures MILESTONE, DEVIL, and ANGEL. There is no non-determinism; this is, however, an example of non-blind backtracking [8]. For an example of blind backtracking with continuations see [11].

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interpreter which will run in Scheme. This interpreter is derived from one in [3]. Issue's of side-effects are germane, but are ignored as the goals of this lecture are primarily about the applications of continuations. See Johnson and Duggan [14] for a further study of stores. As usual we assume the expression is curried. A good exercise is to rewrite this program in continuation-passing-style. (record-case e ...) is described in detail in Dybvig [4].

```
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It matches against the (car e) and lexically binds while pairing against the (cdr e).
(define M
  (let ([extend (lambda (r var val)
                   (lambda (i) (if (eq? i var) val (r i))))])
    (lambda (e r)
      ((call/cc
        (lambda (I^)
           (letrec
             (TU
               (lambda (e r)
                 (cond
                   [(number? e) e]
                   [(symbol? e) (r e)]
                   [else (record-case e
                            [quote (lit) lit]
                            [lambda (fs b)
                             (lambda (v)
                               (U b (extend r (car fs) v)))]
                            [lambda^ (fs e)
                             (lambda (v)
                               (I^ (lambda () (U e (extend r (car fs) v)))))]
                            [if (test t-pt f-pt)
                             (if (U test r) (U t-pr r) (U f-pt r))]
                            [call/cc (f) (call/cc (U f r))]
                            [else ((U (car e) r) (U (cadr e) r))])]))])
             (lambda () (U e r)))))))))
```

The special form execute below builds an environment with just two identifiers: + and cons. Feel free to add additional symbols to the environment, but remember that everything is curried.

Queues created by create-q respond to en-q! and de-q! messages. If an attempt is made to delete something from an empty queue then the continuation which was passed as an argument to create-q is invoked. The test for an empty queue is not needed if an enqueue has just preceded it. A good exercise is to add a new message that combines the actions of en-q! and de-q!. Then swap-run becomes (RUN (q 'en-q-de-q! k^)).

```
(define create-q
 (lambda (where-to-exit-when-empty^)
    (let ([head '()] [tail t])
      (lambda msg
        (case (car msg)
          [en-q! (if (null? head)
                     (begin (set! head (cons (cadr msg) head))
                            (set! tail head))
                     (begin (set-cdr! tail (cons (cadr msg) '()))
                            (set! tail (cdr tail))))]
          [de-q! (if (null? head)
                     (where-to-exit-when-empty^ 'done)
                     (begin0 (car head)
                             (if (eq? head tail)
                                 (set! head '())
                                 (set! head (cdr head))))))))))
```

```
The procedures process-maker, build-q and test create a test program.
(define process-maker
 (lambda (n)
   (CREATE-PROCESS
     (lambda ()
       (display n)
       (PAUSE-HANDLER)
       (newline)
       (display "about to halt")
       (newline)
       (PAUSE-HANDLER)
       (display n))))
(define build-q
 (lambda (maker n)
   (lambda (q)
     (letrec
       ([loop (lambda (n)
               (cond
                [(zero? n) 'queue-built]
                [else (q 'en-q! (maker n))
                     (loop (sub1 n))]))])
       (loop n)))))
(define test
 (lambda ()
   (DISPATCHER (build-q process-maker 8))))
```